

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	:
	:
Simon Hunt	:
	:
Serial No.: 09/762,852	: Art Unit: To be assigned
	:
Filed: February 14, 2001	: Examiner: To be assigned
	:
For: INTERACTIVE SYSTEM	: Atty Docket: 0234/00070
FOR ENABLING TV	:
SHOPPING	:
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**RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS"**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTIFICATION OF MISSING REQUIREMENTS** dated March 12, 2001, Applicant submits herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ✓1. Copy of the Notice to File Missing Parts;
- ✓2. A Petition for 3-Month Extension of Time;
3. Original Declaration executed by the Assignee on behalf of the non-signing inventor;
- ✓4. An Assignment for recording;
- 5. Certification under 37 CFR § 3.73(b)
- ✓6. A Petition under 37 CFR § 1.47(b) including a statement of facts in support of filing on behalf of non-signing inventor, with exhibits;

- ✓7. A copy of the International Preliminary Examination Report with transmittal;
- ✓8. A copy of the International Search Report;
- ✓9. A copy of the Written Opinion (PCT/IPEA/408); and
10. Our check for \$1,190.00 covering:
  - \$890.00 - fee for 3-Month Extension of Time,
  - \$40.00 - Assignment recordation fee,
  - \$130.00 - Petition fee (37 CFR § 1.17(h) or (i)), and
  - \$130.00 - Surcharge for filing Declaration later than 30 months from the earliest claimed priority date.

The Director is hereby authorized to charge any insufficient fees, including any fees required under 37 CFR §§ 1.16 or 1.17, or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is also attached.

Respectfully submitted,



George R. Pettit, Reg. No. 27,369  
Connolly Bove Lodge & Hutz LLP  
1990 M Street, N.W.  
Washington, D.C. 20036-3425  
Telephone: 202-331-7111

Date: 7/12/01

07/16/2001 SMAJARRO 00000108 09762852

01 FC:154

130.00 OP


Form PTO-1595 1-31-92	Recordation Form Cover Sheet <b>PATENTS ONLY</b>	U.S. Department of Commerce Patent and Trademark Office
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To the Director of Patents and Trademarks: Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): Simon Hunt</p> <p>Add'l name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Media Logic Systems Limited Nisaba House Waterfront Business Park, Fleet Road Hampshire GU13 8QT United Kingdom</p> <p>Add'l. name(s) &amp; address(es) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <span style="margin-left: 100px;"><input type="checkbox"/> Merger</span>  <input type="checkbox"/> Security Agreement  <input type="checkbox"/> Change of name  <input type="checkbox"/> Other</p> <p>Execution date: May 1, 2001</p>			
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:</p> <table style="width: 100%;"> <tr> <td style="width: 50%;">A. Patent Application No.(s) 09/762,852</td> <td style="width: 50%;">B. Patent No.(s)</td> </tr> </table> <p style="text-align: center;">Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.</p>		A. Patent Application No.(s) 09/762,852	B. Patent No.(s)
A. Patent Application No.(s) 09/762,852	B. Patent No.(s)		

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>George R. Pettit Connolly Bove Lodge &amp; Hutz Suite 800 1990 M Street, N.W. Washington, D.C. 20036-3425</p>	<p>6. Total Number of applications and patents involved [1]</p> <p>7. Total fee (37 C.F.R. 3.41)....\$<u>40.00</u>  <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Authorized to be charged to Deposit Account No. 22-0185  <input checked="" type="checkbox"/> Charge deficiencies/credit overpayments to Deposit Account 22-0185</p> <p>8. Deposit Account No. 22-0185</p>
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**DO NOT USE THIS SPACE**

<p>9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p style="text-align: center;"> George R. Pettit, Reg. No. 27,369</p>	<p>Date: <u>7/12/01</u></p> <p style="text-align: right;">Total number of pages including cover sheet: [5]</p>
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**Do not detach this portion**

Mail documents to be recorded with required cover sheet information to:

07/16/2001 SHAJARRD 00000108 09762852

02 FC:581

40.00 OP

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

Rwl BRP  
0234-070

missing requirements 4-12-01  
#3



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/762852	HUNT S	0234/00070
CONNOLLY BOVE LODGE & HUTZ 1990 M STREET N W SUITE 800 WASHINGTON, DC 20036 3425		
INTERNATIONAL APPLICATION NO.		
PCT/GB99/02665		
I.A. FILING DATE	PRIORITY DATE	
12 AUG 99	14 AUG 98	
DATE MAILED: 12 MAR 2001		

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.  
☒ Copy of the international application in:  
☐ a non-English language.  
☒ English.

☐ Translation of the international application into English.

☒ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed FEB 14 2001 and

☐ Information Disclosure Statement(s) filed and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report and copies of the references cited therein.

☒ Other: 306

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☒ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

John L. Anderson

Telephone: 703-308-9116



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

U.S. APPLICATION NO. 09/762852	FIRST NAMED APPLICANT HUNT	ATTY. DOCKET NO. S 0234/00070
INTERNATIONAL APPLICATION NO. PCT/GB99/02665		
I.A. FILING DATE 12 AUG 99	PRIORITY DATE 14 AUG 98	

DATE MAILED: 12 MAR 2001

### NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the specification to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the city and state or city and foreign country of residence or each inventor.
2. ☐ does not state that the person making the oath or declaration:
  - a. ☐ has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
  - b. ☐ acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4. ☐ does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and filing date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)).

John L. Anderson

Telephone: 703-308-9116